

Image

1625

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 13, 2003.

Chen S. S. S. S.
Attorney for Applicant

PATENT APPLICATION

Docket No. 3302.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Laixin Wang)	
Serial No.:	09/996,507)	
Filed:	November 28, 2001)	
For:	POLYCATIONIC WATER SOLUBLE)	Group Art
	COPOLYMER AND METHOD FOR)	Unit:
	TRANSFERRING POLYANIONIC)	
	MACROMOLECULES ACROSS BIOLOGICAL)	
	BARRIERS)	

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicant and/or his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), the enclosed Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

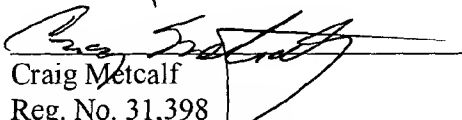
Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. § 102 or § 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, transmitted herewith are:

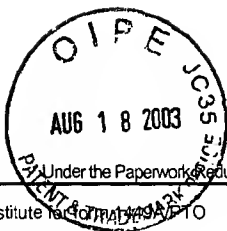
1. A completed copy of Form PTO/SB/08A "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08A.

Respectfully submitted,


Craig Metcalf
Reg. No. 31,398
Attorney for Applicant

Date: August 13, 2003

MADSON & METCALF
Gateway Tower West
15 West South Temple, Suite 900
Salt Lake City, Utah 84101
Telephone: 801/537-1700



PTO/SB/08A (05-03)
Approved for use through 5/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 1	Complete if Known	
	Application Number	09/996,507
	Filing Date	November 28, 2001
	First Named Inventor	Laixin Wang
	Group Art Unit	1635
	Examiner Name	
	Attorney Docket Number	3302.2.1

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	U1	US-6,440,743	08/27/2002	Kabanov et al.	
	U2	US-6,333,051	12/25/2001	Kabanov et al.	
	U3	US-6,312,727	11/06/2001	Schacht et al.	
	U4	US-6,300,317	10/09/2001	Szoka, Jr. et al.	
	U5	US-6,231,892	05/15/2001	Hubbell et al.	
	U6	US-6,153,597	11/28/2000	Blanche et al.	
	U7	US-6,113,946	09/05/2000	Szoka, Jr. et al.	
	U8	US-5,820,882	10/13/1998	Hubbell et al.	
	U9	US-5,661,025	08/26/1997	Szoka, Jr. et al.	
	U10	US-5,656,611	08/12/1997	Kabanov et al.	
	U11	US-5,389,381	02/14/1995	Phillips et al.	
	U12	US-5,380,536	01/10/1995	Hubbell et al.	
	U13	US-5,275,824	01/04/1994	Carli et al.	
	U14	US-5,256,652	10/26/1993	El-Rashidy	
	U15	US-5,079,237	01/07/1992	Husu et al.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and selection option 2.